CANARA ROBECO
Mutual Fund

SIP REGISTRATION CUM MANDATE FORM For investment through NACH/Direct Debit

(Investors applying under Direct Plan must mention "Direct " in ARN column.) All sections to be completed in ENGLISH in BLACK/BLUE COLORED INK and in BLOCK LETTERS

Distributor / Broker ARN / RIA Code#	Sub-Broker ARN Code	Internal Sub-Broker/Employee Code	Employee Unique Identification No.(EUIN) (of Individual ARN holder or of employee / Relationship Manager / Sales Person of the Distributor)

#By mentioning RIA Code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mututal Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank) - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of Sole/First Applicant	Signature of Second Applicant	Signature of Third Applicant

In case the subscription (lumpsum) amount Rs. 10,000/- or more and your Distributor has opted to receive transactions charges, Rs. 150/- (for first time mutual fund investor) or Rs. 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid the distributor. Units will be issued against the balance amount invested.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Please tick (✓) ☐ New Registration ☐ Cancellation **Existing UMRN**

The Trustee, Canara Robeco Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

INVESTOR DETAILS

Sole / First Applicant's Name

Folio No. **PAN**

DEMAT ACCOUNT DETAILS (Optional) Please (✓) ☐ NSDL OR ☐ CDSL

Depository Participant (DP) ID

Depository Praticipant (DP) ID (CDSL only)

Beneficiary Account Number (NSDL only)

(The application form should mandatorily accompany the latest Client investor master / Demat account statement.)

SIP DETAILS

SIP Frequency : ☐ Monthly ☐ Quarterly

(Default SIP frequency is Monthly) In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP.

SIP Date : ☐ 1st ☐ 5th ☐ 15th (Default) ☐ 20th ☐ 25th

SIP Start Month/Year M M / Y Y Y Y

SIP End Month/Year M M / Y Y Y Y

SCHEME NAME

PLAN OPTION / SUB-OPTION : Dividend Frequency:

Please refer instructions and Key Scheme Features for options. Sub-options and other facilities available under each scheme of the fund.

SIP Installment Amount Rs. Rs. in words :

FIRST INSTALLMENT PAYMENT DETAIL Cheque / DD No. _____ Date _____

Drawn on Bank / Branch / City _____

Amount Rs.

☐ **SIP TOP UP** (Optional) (Tick to avail this facility)

TOP UP Amount: Rs. _____

**TOP UP amount has to be multiples of Rs. 500 only (Minimum Rs. 500).*

TOP UP Frequency : ☐ Half Yearly ☐ Yearly

Note :
- Default Frequency is Annual
- It is mandatory to submit NACH (OTM)
- NACH mandate should be provided for maximum amount in line with your Top Up mandate & SIP tenure.

YOUR CONFIRMATION / DECLARATION: I/we hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year as described in the Instruction of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

Signature(s) (As in Bank Records)

Signature of Sole/First Applicant	Signature of Second Applicant	Signature of Third Applicant

NACH MANDATE INSTRUCTION FORM (Refer Instruction over leaf before Filling)

CANARA ROBECO
Mutual Fund

DEBIT MANDATE FORM

UMRN[1] Date[2] D D / M M / Y Y Y Y

Please (✓)[7]
☐ CREATE
☐ MODIFY
☐ CANCEL

Sponsor Bank Code[3] C I T I O O O P I G W Utility Code[4] C I T I 0 0 0 0 2 0 0 0 0 0 0 3 7

I/We hereby authorize[5] Canara Robeco Mutual Fund to debit (Please ✓)[6] ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Others _____

Bank Account Number[8]

With Bank[9] Bank Name IFSc[10] Or MICR[11]

An amount of Rupees[12] In Words Amount in Figures[13] ₹

FREQUENCY[14] ☐ Monthly ☐ Quarterly ~~☐ Half Yearly ☐ Yearly~~ ☐ As & When presented **DEBIT TYPE**[15] ☐ Fixed Amount ☐ Maximum Amount

Folio No.[16] Phone[18]

PAN[17] E-mail[19]

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD[20]
FROM DD MM YYYY
TO DD MM YYYY
OR ☒ ~~Until Cancelled~~

[21]Signature Primary Account Holder	Signature Account Holder	Signature Account Holder
[22]Name as in bank records	Name as in bank records	Name as in bank records

- This is to confirm that the declaration has been carefully read, understood & made by me/ us. I am authorizing the user entity/ Corporate to debit my account.
- I have understood that I am authorized to cancel/ amend this mandate by appropriately communicating the cancellation/ amendment request to the User entity/ corporate or the bank where I have authorised the debit.

TERMS & CONDITIONS FOR SIP THROUGH NACH

A) SIP Payment through NACH/Direct Debit

1. This facility is available presently only for Systematic Investment Plan.
2. NACH debit facility is offered at various banks. For a detailed list of banks please refer the website www.npci.org.in
3. This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/updation at any time in future at the sole discretion of CRAMC , without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
4. SIP instalments shall be started only after registration of SIP – NACH Debit Mandate with investors banker through NPCI.
5. **Mandatory fields in SIP NACH DEBIT MANDATE form as per NPCI:** • Date • Bank account number and Bank name • IFSC and/or MICR Code • Folio number or application number • Signatures as per bank records • SIP start date, end date or until cancelled • Account type to be selected • Name as per bank records • Transaction type to be selected • Maximum amount to be mentioned in figures and words.
6. SIP auto debit is available only on specific dates of the month viz. 1st/5th/15th/20th/ 25th. In case 1st/5th/15th/20th/25th is a holiday, then next business day. In case the Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
7. In case of SIP transaction where the mode of payment is through NACH/Direct Debit, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days for Monthly and Quarterly SIPs from the date of submission of SIP application.
   The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the Canara Robeco Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date.
   All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be levied in the Scheme.
8. The investor agrees to abide by the terms and conditions of NACH/Direct Debit facilities of Reserve Bank of India (RBI)/NPCI.
9. Investor will not hold Canara Robeco Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH/Direct Debit.
10. If mandate is not registered through NACH mode or/and if frequency opted is other than "as and when presented", mandate will not be considered as one time mandate.
11. Canara Robeco Mutual Fund reserves the right to reject any application without assigning any reason thereof.
12. In case of "At Par" cheques, investors need to mention the MICR number of his actual bank branch.
13. **New Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
14. **Existing Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor's folio. Incase multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
15. Incase SIP date is not selected or the date mentioned is not legible or clear or multiple SIP date are opted, then the SIP will be registered on 15th (default date) of each Month/Quarter as applicable. Further, in case SIP registration through NACH, if multiple SIP dates are opted, SIP will be registered for all opted dates.
16. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
17. Incase the SIP 'End Period' is not mentioned by the investor in the form , then the fund will continue SIP till it receives termination notice from the investor.
18. **Maximum Amount:** The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction. Generally speaking, your SIP amount will be lesser than this amount, but choosing a slightly higher limit helps you to undertake additional investments as per your choice. Always remember to mention an amount that is convenient to you.
19. **Change of Amount:** Investors can change the SIP amount by submitting the following documents 30 days before the next SIP debit date.
    a) A new 'SIP ' Form with revised SIP amount details.
    b) Letter to discontinue the existing SIP

B) General Instructions

1. Existing investors need to provide their folio number in this mandate form and need not to fill in the Common Application Form.
   For minimum application amount to be invested in SIP, risk factors, features etc. please refer to the Key Scheme Features.
2. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
3. Canara Robeco Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
4. The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.
5. For load structure of the schemes, please refer to the Key Scheme Features.
6. **SIP TOP UP Facility:**
   (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
   (b) The TOP UP amount has to be in multiples of Rs.500 only.
   (c) The frequency is fixed at Yearly and Half Yearly basis. In case the TOP UP facility is not opted by ticking the appropriate box and frequency is not selected, the TOP UP facility may not be registered.
   (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

   Please see the illustration below to know how to calculate SIP Top-Up amount:

SIP enrolment period: **1 Jan 2016 to 1 Dec 2021**;				
Starting Monthly SIP amount : **Rs. 10000/-**				
Top Up Amount: **Rs. 2000/-**				
Top Up frequency: **Half - Yearly**		Top Up limit : **Rs. 16000/-**		
From date	**To date**	**Monthly SIP Instalment (Rs.)**	**SIP Top Up Amount (Rs.)**	**Total Amount of SIP (Rs.)**
1 - Jan - 16	1 - Jun - 16	10000	NA	10000
1 - Jul - 16	1 - Dec - 16	10000	2000	12000
1 - Jan - 17	1 - Jun - 17	12000	2000	14000
1 - Jul - 17	1 - Dec - 17	14000	2000	16000
1 - Jan - 18	1 - Dec - 21	16000	-	16000

   (e) SIP TOP UP will be allowed in Micro SIP folio subject to condition that total investment including SIP TOP UP does not exceed Rs. 50,000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.
   (f) If the investor selects multiple SIP dates and opted for Top-Up facility, top-up will be applicable for all the dates opted by the investor.
   (g) The investor agrees to avail the TOP UP facility for SIP and authorize his/her bank to execute the NACH/Direct Debit by giving ONE TIME MANDATE (OTM) with selection of "As & When presented and maximum amount".
7. The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers incase his/her bank is not able to effect any of the payment instructions for whatsoever reason.
8. **Demat/Non-Demat Mode:** Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.
   If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master/Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly dividend options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL)/Central Depository Services Limited (CDSL).
   Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.
   The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable. In case the unit holder wishes to convert the units held in nondemat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.
9. It is advisable to go for registration of One Time MANDATE (OTM) by opting "As & When presented and Maximum amount".

INSTRUCTIONS TO FILL MANDATE

1. UMRN - To be left blank.
2. Date in DD/MM/YYYY format
3. Sponsor Bank IFSC / MICR code, left padded with zeroes where necessary. (Maximum length - 11 Alpha Numeric Characters). (Pre-printed)
4. Utility Code: Unique code of the entity to whom mandate is being given - To be provided by the entity. (Pre-printed)
5. Name of the entity to whom the mandate is being given. (Pre-printed)
6. Account type - SB / CA / CC / SB-NRE / SB-NRO / OTHER
7. Tick - Select your appropriate Action
   a. Create - For New Mandate
   b. Modify - For Changes / Amendment on existing Mandate
   c. Cancel - For cancelling the existing registered Mandate
8. Your Bank Account Number for debiting the amount.
9. Name of your bank and branch.
10. Your Bank branch IFSC code OR
11. Your Bank branch MICR code

} (Please mention both IFSC Code & MICR Code)

12. Amount in words.
13. Amount in figures.

} (Investors are advised to fill the amount in words & figures based on whether it is fixed or maximum amount.)

14. Frequency at which the debit should happen. (Half yearly and yearly options are not available. Advisable to opt for "As & When presented")
15. Tick on the box to select the amount either fixed or maximum. (Advisable to opt for maximum amount)
16. Folio No. / Application No. (In case of new investors).
17. PAN (Permanent Account Number of Sole / First holder)
18. Your phone number.
19. Your email-id.
20. Period for which the debit mandate is valid
    a. Start date
    b. End date
    c. Or until cancelled (It is striked out. To cancel an existing mandate, investors are advised to submit separate cancellation form)
21. Signatures of the account holder.
22. Name of the account holder.